STATE OF FLORIDA DIVISION OF ADMINISTRATIVE HEARINGS

TAMMY LEA ALBRITTON AND DOUGLAS)

EDWARD ALBRITTON, on behalf of)
and as parents and natural)
guardians of ELIZABETH NICOLE)
ALBRITTON, a minor,)

Petitioners,)

vs.) Case No. 04-0407N

FLORIDA BIRTH-RELATED)
NEUROLOGICAL INJURY)
COMPENSATION ASSOCIATION,)

Respondent.)

FINAL ORDER

Pursuant to notice, the Division of Administrative

Hearings, by Administrative Law Judge William J. Kendrick, held

a final hearing in the above-styled case on October 28, 2004, by

video teleconference, with sites in Tallahassee and Orlando,

Florida.

APPEARANCES

For Petitioners: George H. Anderson, III, Esquire

Best & Anderson, P.A. 1201 East Robinson Street Orlando, Florida 32801

For Respondent: George W. (Trey) Tate, III, Esquire

Broad & Cassel

390 North Orange Avenue, Suite 1100

Orlando, Florida 32801

STATEMENT OF THE ISSUES

At issue is whether Elizabeth Nicole Albritton, a minor, qualifies for coverage under the Florida Birth-Related Neurological Injury Compensation Plan.

PRELIMINARY STATEMENT

On February 2, 2004, Tammy Lea Albritton and Douglas Edward Albritton, on behalf of and as parents and natural guardians of Elizabeth Nicole Albritton (Elizabeth), a minor, filed a petition (claim) with the Division of Administrative Hearings (DOAH) for compensation under the Florida Birth-Related Neurological Injury Compensation Plan (Plan).

DOAH served the Florida Birth-Related Neurological Injury Compensation Association (NICA) with a copy of the claim on February 4, 2004, and on April 20, 2004, NICA gave notice that it was of the view that the infant did not suffer a "birth-related neurological injury" as defined by Section 766.302(2), Florida Statutes, and requested that a hearing be scheduled to address the issue of compensability. Such a hearing was initially scheduled for September 9 and 10, 2004, but at Petitioners' request was re-scheduled for October 28 and 29, 2004.

At hearing, Petitioners' Exhibit 1 and Respondent's

Exhibits A-I were received into evidence. No witnesses were

called, and no further exhibits were offered.

The transcript of the hearing was filed November 30, 2004, and the parties were accorded 10 days from that date to file proposed orders. Respondent elected to file such a proposal on December 2, 2004, and by filing of December 9, 2004, Petitioners gave "notice of their election not to file a proposed order in this matter, inasmuch as the proposed final order of the Respondent accurately sets forth the testimony in this matter."

The parties' filings have been duly considered.

FINDINGS OF FACT

Preliminary findings

- 1. Tammy Lea Albritton and Douglas Edward Albritton are the natural parents and guardians of Elizabeth Nicole Albritton, a minor. Elizabeth was born a live infant on December 10, 2001, at Central Florida Regional Hospital, a hospital located in Sanford, Florida, and her birth weight exceeded 2,500 grams.
- 2. Obstetrical services were provided at Elizabeth's birth by Ca'sha L. Archer, C.N.M., who was supervised by Christopher Quinsey, M.D. At the time, both Certified Nurse Midwife Archer and Doctor Quinsey were "participating physicians," as that term is used in the Plan. § 766.314(4)(c), Fla. Stat.

Coverage under the Plan

3. Pertinent to this case, coverage is afforded by the Plan for infants who suffer a "birth-related neurological

injury," defined as an "injury to the brain or spinal cord . . . caused by oxygen deprivation or mechanical injury occurring in the course of labor, delivery, or resuscitation in the immediate postdelivery period in a hospital, which renders the infant permanently and substantially mentally and physically impaired." § 766.302(2), Fla. Stat. See also §§ 766.309 and 766.31, Fla. Stat.

4. Here, the medical records and the results of various neurological examinations demonstrate that Elizabeth, who presents with a diagnosis of cerebral palsy, is permanently and substantially physically impaired; however, mentally, Elizabeth was not similarly affected or, stated otherwise, she is not permanently and substantially mentally impaired. Consequently, for reasons appearing more fully in the Conclusions of Law, the claim is not compensable, and it is unnecessary to resolve whether Elizabeth's cerebral palsy resulted from brain injury caused by birth trauma (oxygen deprivation or mechanical injury) or whether it was developmentally based.

CONCLUSIONS OF LAW

- 5. The Division of Administrative Hearings has jurisdiction over the parties to, and the subject matter of, these proceedings. § 766.301, et seq., Fla. Stat.
- 6. The Florida Birth-Related Neurological Injury
 Compensation Plan was established by the Legislature "for the

purpose of providing compensation, irrespective of fault, for birth-related neurological injury claims" relating to births occurring on or after January 1, 1989. § 766.303(1), Fla. Stat.

- 7. The injured "infant, her or his personal representative, parents, dependents, and next of kin," may seek compensation under the Plan by filing a claim for compensation with the Division of Administrative Hearings. §§ 766.302(3), 766.303(2), 766.305(1), and 766.313, Fla. Stat. The Florida Birth-Related Neurological Injury Compensation Association, which administers the Plan, has "45 days from the date of service of a complete claim . . . in which to file a response to the petition and to submit relevant written information relating to the issue of whether the injury is a birth-related neurological injury." § 766.305(3), Fla. Stat.
- 8. If NICA determines that the injury alleged in a claim is a compensable birth-related neurological injury, it may award compensation to the claimant, provided that the award is approved by the administrative law judge to whom the claim has been assigned. § 766.305(6), Fla. Stat. If, on the other hand, NICA disputes the claim, as it has in the instant case, the dispute must be resolved by the assigned administrative law judge in accordance with the provisions of Chapter 120, Florida Statutes. §§ 766.304, 766.309, and 766.31, Fla. Stat.

- 9. In discharging this responsibility, the administrative law judge must make the following determination based upon the available evidence:
 - (a) Whether the injury claimed is a birth-related neurological injury. If the claimant has demonstrated, to the satisfaction of the administrative law judge, that the infant has sustained a brain or spinal cord injury caused by oxygen deprivation or mechanical injury and that the infant was thereby rendered permanently and substantially mentally and physically impaired, a rebuttable presumption shall arise that the injury is a birth-related neurological injury as defined in s. 766.303(2).
 - (b) Whether obstetrical services were delivered by a participating physician in the course of labor, delivery, or resuscitation in the immediate post-delivery period in a hospital; or by a certified nurse midwife in a teaching hospital supervised by a participating physician in the course of labor, delivery, or resuscitation in the immediate post-delivery period in a hospital.
- § 766.309(1), Fla. Stat. An award may be sustained only if the administrative law judge concludes that the "infant has sustained a birth-related neurological injury and that obstetrical services were delivered by a participating physician at birth." § 766.31(1), Fla. Stat.
- 10. Pertinent to this case, "birth-related neurological injury" is defined by Section 766.302(2), to mean:

injury to the brain or spinal cord of a live infant weighing at least 2,500 grams for a

single gestation or, in the case of a multiple gestation, a live infant weighing at least 2,000 grams at birth caused by oxygen deprivation or mechanical injury occurring in the course of labor, delivery, or resuscitation in the immediate postdelivery period in a hospital, which renders the infant permanently and substantially mentally and physically impaired. This definition shall apply to live births only and shall not include disability or death caused by genetic or congenital abnormality.

11. Here, while the proof demonstrated that Elizabeth was permanently and substantially physically impaired, it also demonstrated that she was not permanently and substantially mentally impaired. Consequently, given the provisions of Section 766.302(2), Florida Statutes, Elizabeth does not qualify for coverage under the Plan. See also Florida Birth-Related Neurological Injury Compensation Association v. Florida Division of Administrative Hearings, 686 So. 2d 1349 (Fla. 1997)(The Plan is written in the conjunctive and can only be interpreted to require both substantial mental and physical impairment.); Humana of Florida, Inc. v. McKaughan, 652 So. 2d 852, 859 (Fla. 2d DCA 1995)("[B]ecause the Plan . . . is a statutory substitute for common law rights and liabilities, it should be strictly construed to include only those subjects clearly embraced within its terms."), approved, Florida Birth-Related Neurological Injury Compensation Association v. McKaughan, 668 So. 2d 974, 979 (Fla. 1996).

12. Where, as here, the administrative law judge determines that ". . . the injury alleged is not a birth-related neurological injury . . . he [is required to] enter an order [to such effect] and . . . cause a copy of such order to be sent immediately to the parties by registered or certified mail." § 766.309(2), Fla. Stat. Such an order constitutes final agency action subject to appellate court review. § 766.311(1), Fla. Stat.

CONCLUSION

Based on the foregoing Findings of Fact and Conclusions of Law, it is

ORDERED that the claim for compensation filed by Tammy Lea Albritton and Douglas Edward Albritton, on behalf of and as parents and natural guardians of Elizabeth Nicole Albritton, a minor, is dismissed with prejudice.

DONE AND ORDERED this 13th day of December, 2004, in Tallahassee, Leon County, Florida.

WILLIAM J. KENDRICK

Administrative Law Judge

Division of Administrative Hearings

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Filed with the Clerk of the Division of Administrative Hearings this 13th day of December, 2004.

COPIES FURNISHED:
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NOTICE OF RIGHT TO JUDICIAL REVIEW

A party who is adversely affected by this final order is entitled to judicial review pursuant to Sections 120.68 and 766.311, Florida Statutes. Review proceedings are governed by the Florida Rules of Appellate Procedure. Such proceedings are commenced by filing the original of a notice of appeal with the Agency Clerk of the Division of Administrative Hearings and a copy, accompanied by filing fees prescribed by law, with the appropriate District Court of Appeal. See Section 766.311, Florida Statutes, and Florida Birth-Related Neurological Injury Compensation Association v. Carreras, 598 So. 2d 299 (Fla. 1st DCA 1992). The notice of appeal must be filed within 30 days of rendition of the order to be reviewed.